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ADEMI	Application Number	10/849,402	
TRANSMITTAL	Filing Date	May 19, 2004	
FORM	First Named Inventor	Christopher Voice	
	Art Unit	2131	
(to be used for all correspondence after initial filing)	Examiner Name	na	
Total Number of Pages in This Submission	Attorney Docket Number	10500.04.0427	
FNC	CLOSURES (Check all	I that anniv)	

ENCLOSIDES (Objects #145-4 La						
ENCLOSURES (Check all that apply)						
Fee	e Transmittal Form	Drawing(s)  Licensing-related Papers  After Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences				
Ext Ext Info	After Final Affidavits/declaration(s) tension of Time Request press Abandonment Request ormation Disclosure Statement	Petition Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information  Other Enclosure(s) (please Identify below):  -IDS Cover Sheet -Copies of references (7) -return postcard				
Do	rtified Copy of Priority cument(s)  ply to Missing Parts/ complete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks   .				
	SIGN	ATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm Name		man & Kammholz, P.C.				
Signature	CA	rebay				
Printed nar	Christopher J. Reci					
Date	4-25-	Reg. No. 34,414				
CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:						
Signature	Signature / luntu de Willet					
Typed or pr	rinted name Christine A.	Wright Date 4-25-05				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Christopher Voice et al.

Examiner: na

Serial No.:

10/849,402

Art Unit: 2131

Filing Date:

May 19, 2004

Docket No.: 10500.04.0427

Title: METHOD AND APPARATUS FOR PROVIDING ELECTRONIC MESSAGE AUTHENTICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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22313-1450, on this data

## INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 CFR §§ 1.97(C) AND 1.98

Pursuant to 37 CFR §§ 1.97(c) and 1.98, Applicants respectfully submit the following statement consisting of:

- 1. A list of documents; and
- 2. General remarks.

A copy of the listed documents is enclosed herewith along with Forms PTO/SB/08A and PTO/SB/08B.

## 1. **Documents**

- a. U.S. Patent No. 4,189,353; issued February 19, 1980 to Harriman;
- b. U.S. Patent No. 5,712,627; issued January 27, 1998 to Watts;
- c. U.S. Patent No. 6,438,550B1; issued August 20, 2002 to Doyle et al.;
- d. U.S. Publication No. 2003/0015866A1; published January 23, 2003 to Cioffi et al.;

e. U.S. Publication No. 2003/0141373A1; published July 31, 2003 to Lasch

et al.;

f. "Proposed Solutions to Address the Threat of Email Spoofing Scams";

The Anti-Phishing Working Group; December, 2003; pages 1-10;

g. "Authenticated Email Primer: Tumbleweed Jumps Into the Fray";

America's Growth Capital; April 8, 2004; pages 1-13;

h. "The Dryad Numeral Cipherauthentication System"; from

https://hosta.atsc.eustis.army.mil; printed May 11, 2004; pages 1-12;

i. "What are PassMarks?"; PassMark; from www.passmarksecurity.com;

printed April 21, 2005; page 1;

j. Canadian Patent Office International Search Report for International

Application No. PCT/CA2004/002091 dated March 21, 2005; and

k. Canadian Patent Office Written Opinion for International Application No.

PCT/CA2004/002091 dated March 21, 2005.

**REMARKS** 

This Information Disclosure Statement is being filed before the issuance of a first office

action. As such, there is no fee due for the filing of such information disclosure statement.

The submission of the above documents is not an admission that the information is prior

art, analogous or otherwise material. It is respectfully requested that the above-listed documents

be considered and made of record in the present application.

Respectfully submitted,

Date: 4-25-05

By: Christopher J. Reckamp

Registration No. 34,414

Vedder, Price, Kaufman & Kammholz, P.C.

222 N. LaSalle Street

Chicago, IL 60601 (312) 609-7500

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PTO/SB/08A (08-03)

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Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 2

Complete if Known			
Application Number	10/849,402		
Filing Date	May 19, 2004		
First Named Inventor	Christopher Voice		
Art Unit	2131		
Examiner Name	na		
Attorney Docket Number	10500.04.0427		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	İ	<sup>US-</sup> 4,189,353	02-19-1980	Harriman	
		<sup>US-</sup> 5,712,627	01-27-1998	Watts	
		<sup>US-</sup> 6,438,550 B1	08-20-2002	Doyle et al.	
		<sup>US-</sup> 2003/0015866A1	01-23-2003	Cioffi et al.	
		<sup>US-</sup> 2003/0141373A1	07-31-2003	Lasch et al.	
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
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Signature	P _	sidered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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THAT THE THE	Application Number	10/849,402	
INFORMATION DISCLOSURE	Filing Date	May 19, 2004	
STATEMENT BY APPLICANT	First Named Inventor	Christopher Voice	
(Use as many sheets as necessary)	Art Unit	2131	
(USE as many sheets as necessary)	Examiner Name	na	
Sheet 2 of 2	Attorney Docket Number	10500.04.0427	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
		The Anti-Phishing Working Group; Proposed Solutions to Address the Threat of Email Spoofing Scams; December 2003; pages 1-10.		
		America's Growth Capital; Authenticated Email Primer: Tumbleweed Jumps Into the Fray; April 8, 2004; pages 1-13.		
		The Dryad Numeral Cipherauthentication System; from https://hosta.atsc.eustis.army.mil; printed May 11, 2004; pages 1-12.	,	
		PassMark; What are PassMarks?; from www.passmarksecurity.com; printed April 21, 2005; page 1.		
		Canadian Patent Office International Search Report for International Application No. PCT/CA2004/002091 dated March 21, 2005.		
		Canadian Patent Office Written Opinion for International Application No. PCT/CA2004/002091 dated March 21, 2005.		

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